

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
LUKP:103US

In Re Application Of: **Martin HORNEHM et al.**

Serial No.
10/762,946

Filing Date
01/22/2004

Examiner
N/A

Group Art Unit
N/A

Title: **CLUTCH SLIP CONTROL DEVICE AND METHOD**

Address to:
**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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N/A

CLUTCH SLIP CONTROL DEVICE AND METHOD

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0822**
as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

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Certificate of Mailing by First Class Mail

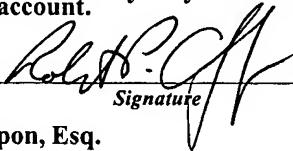
I certify that this document and fee is being deposited on **April 23, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Robert P. Simpson, Esq., Registration No. 33,034

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**


Signature

Dated: **April 23, 2004**

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**U.S. UTILITY PATENT APPLICATION
ATTORNEY DOCKET NO. LUKP:103US**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Matter of United States Patent Application:

Applicant(s): Martin VORNEHM et al. Examiner: N/A

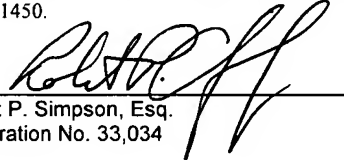
Application No.: 10/762,946 Art Unit: N/A

Filed: January 22, 2004

For: CLUTCH SLIP CONTROL DEVICE AND METHOD

Certificate of Mailing by First Class Mail

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Robert P. Simpson, Esq.
Registration No. 33,034

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Honorable Sir:

This Information Disclosure Statement is submitted in compliance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98.

Record is attached of published art for consideration during prosecution of the above-identified patent application. No representation is made or intended that a search has been made

or, if made, was complete, or that no more pertinent art than that listed is available. It is expected that the Patent and Trademark Office will conduct an independent search for relevant prior art.

Unless otherwise indicated, or unless references cited are U.S. patents or patent application publications, a full text copy of the entire reference of published art is attached. Where specifically indicated, an equivalent English language patent, or publication is attached in lieu of the translation.

Please consider the following published art:

- An English language Abstract from Japanese Publication No. 11315858 is attached to DE 198 57 707, which is cited and discussed at page 1, line 26 of the specification and is mentioned in the International Search Report, a copy of which is enclosed.

- U.S. Patent No. 6,250,448 is attached to DE 198 50 978, which is mentioned in the International Search Report.

- EP 0536933 is mentioned in the International Search Report.

- U.S. Patent No. 5,993,355 is attached to DE 44 34 111, which is mentioned in the International Search Report.

- DE 44 26 260 is cited and discussed at page 1, line 26, of the specification.

- DE 40 11 850 is cited and discussed at page 1, line 24, of the specification.

or, if made, was complete, or that no more pertinent art than that listed is available. It is expected that the Patent and Trademark Office will conduct an independent search for relevant prior art.

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- EP 0536933 is mentioned in the International Search Report.

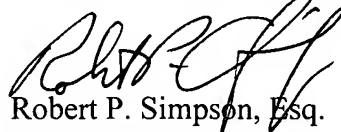
- U.S. Patent No. 5,993,355 is attached to DE 44 34 111, which is mentioned in the International Search Report.

- DE 44 26 260 is cited and discussed at page 1, line 26, of the specification.

- DE 40 11 850 is cited and discussed at page 1, line 24, of the specification.

In accordance with the Patent and Trademark Office Notice of August 15, 1980, also enclosed is a completed Form PTO-A820 (Form PTO-1449).

Respectfully submitted,



Robert P. Simpson, Esq.

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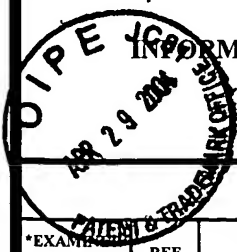
Williamsville, NY 14221-5406

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Dated: April 23, 2004

RPS/LAC

Enclosures (6)



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

LUKP:103US

Application Number

unknown

Applicant(s)

Martin VORNEHM et al.

Filing Date

unknown

Group Art Unit

unknown

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,250,448	06/26/2001	Salecker et al.	192	103	
		5,993,355	11/30/1999	Nordgård	477	174	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		DE 198 57 707	06/24/1999	Germany				
		DE 198 50 978	05/12/1999	Germany				
		EP 0 536 933	01/28/1998	Europe				
		DE 44 34 111	03/28/1996	Germany				
		DE 44 26 260	02/09/1995	Germany				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		DE 40 11 850	10/18/1990	Germany				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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